

**MANNING & NAPIER FUND, INC.
INDIVIDUAL RETIREMENT ACCOUNT (IRA)
TRANSFER OF ASSETS / DIRECT ROLLOVER FORM**



Use this form to request an IRA transfer of assets or a direct rollover (excluding qualified rollover contributions (conversions to a Roth IRA) from an existing retirement plan account to your IRA at Manning & Napier Fund, Inc. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. If you reached or passed the age Required Minimum Distributions begin (age 70 ½ if you were born on or prior to June 30, 1949 and age 72 if you were born on or after July 1, 1949),, you are responsible for distributing any required minimum distribution amounts from your current retirement plan account (excluding Roth IRAs) in advance of the transfer or rollover. Incomplete information will result in delays in processing your request.

DIRECT ROLLOVER NOTICE

If this contribution is a direct rollover from a qualified plan, 403(b), or 457 plan, I understand that by signing page 2 of this form, I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan. You should contact your current plan administrator or custodian prior to completing this form to ensure that you have received and completed any in-house forms that they may require. Direct rollovers from a qualified plan to an IRA can only be in the form of cash.

I. PARTICIPANT INFORMATION – Please Print

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Name Daytime Telephone

Address

City State Zip

Social Security Number Date of Birth

II. RESIGNING CUSTODIAN AND ACCOUNT INFORMATION - Complete a separate transfer / rollover form for each custodian from which you are transferring or rolling over assets. Please attach your most recent statement, if possible. Note: Your current custodian may require a Medallion Signature Guarantee to process your transfer or rollover request. Please see the Participant Authorization Section for an explanation of the Medallion Signature Guarantee.

Type of account you are transferring / rolling over from (check one):

- Traditional/Rollover IRA SEP IRA Roth IRA 403(b) 457 Plan Qualified Plan*
- SEP IRA that is being transferred into a Traditional IRA
- SIMPLE IRA (after the required two year holding period) that is being transferred into a Traditional IRA

*If you are rolling over assets from a qualified plan, please contact your current plan administrator for distribution / rollover form requirements.

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Name of Resigning Custodian Contact Name Telephone Number

Address

City State Zip

Investment(s) to Transfer:

1. _____ \$ _____
Account Number Approximate Amount of Transfer Share Class CUSIP Number
Check one (required): Liquidate Entire Account Transfer In-Kind
 Partial Dollar \$ _____ or Share Amount: _____
For Certificate of Deposits only: Immediately* At Maturity Date: _____

2. _____ \$ _____
Account Number Approximate Amount of Transfer Share Class CUSIP Number
Check one (required): Liquidate Entire Account Transfer In-Kind
 Partial Dollar \$ _____ or Share Amount: _____
For Certificate of Deposits only: Immediately* At Maturity Date: _____

*Note: If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

3. _____ \$ _____
 Account Number Approximate Amount of Transfer Share Class CUSIP Number

Check one (required): Liquidate Entire Account Transfer In-Kind
 Partial Dollar \$ _____ or Share Amount: _____
 For Certificate of Deposits only: Immediately* At Maturity Date: _____

*Note: If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

III. INVESTMENT INSTRUCTIONS

Complete A, B, C and D.

- A. I am opening a new IRA account and have attached the required IRA Application, or
 Deposit the proceeds into my existing IRA account. Account Number: _____
- B. Type of account transferring into: Traditional IRA Rollover IRA SEP IRA Roth IRA
- C. Invest as follows:
 Fund: _____ Amount: \$ _____ or Percentage: _____ %
 Fund: _____ Amount: \$ _____ or Percentage: _____ %
 Fund: _____ Amount: \$ _____ or Percentage: _____ %
 Total Amount: \$ _____ or Percentage: 100 %
- D. Type of Request: IRA Transfer of Assets (like accounts)* Direct Rollover from a Qualified Plan to an IRA
 Direct Rollover from a 403(b) or 457 to an IRA

*SEP and SIMPLE (after the required two year holding period) IRAs can be transferred to a Traditional IRA.

IV. PARTICIPANT AUTHORIZATION

I authorize the transfer of assets or direct rollover as noted above to my Manning & Napier Fund, Inc. IRA and authorize my current custodian, Manning & Napier Fund, Inc. and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

X _____
 Participant's Signature Date

Medallion Signature Guarantee (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Place Medallion Guarantee stamp and signature in box (if applicable):



Mail to: **First Class Mail:** Manning & Napier Fund, Inc. PO Box 534449 Pittsburgh, PA 15253-4449
Overnight Mail: Manning & Napier Fund, Inc. Attention: 534449 500 Ross Street, 154-0520 Pittsburgh, PA 15262